

Concept Note

Section 1: Overview of response																																																																	
Project Title	Kyangwali Emergency Project for DRC Refugees – UGA181																																																																
Location	Uganda Kyangwali Refugee Settlement: Hoima District																																																																
Project start date	16 April 2018																																																																
Duration of project	12 (months)																																																																
Budget (USD)	1,122,531 (USD)																																																																
Sector(s)	<table border="0"> <tr> <td><input checked="" type="checkbox"/></td> <td>Shelter / NFIs</td> <td><input type="checkbox"/></td> <td>Food Security</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Health / Nutrition</td> <td><input checked="" type="checkbox"/></td> <td>Protection/Psychosocial</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>WASH</td> <td><input type="checkbox"/></td> <td>Education</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Early recovery / Livelihoods</td> <td><input type="checkbox"/></td> <td>Unconditional Cash</td> </tr> <tr> <td></td> <td></td> <td></td> <td>Other sector-Peace building and conflict resolution.</td> </tr> </table>										<input checked="" type="checkbox"/>	Shelter / NFIs	<input type="checkbox"/>	Food Security	<input type="checkbox"/>	Health / Nutrition	<input checked="" type="checkbox"/>	Protection/Psychosocial	<input checked="" type="checkbox"/>	WASH	<input type="checkbox"/>	Education	<input type="checkbox"/>	Early recovery / Livelihoods	<input type="checkbox"/>	Unconditional Cash				Other sector-Peace building and conflict resolution.																																			
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			Other sector-Peace building and conflict resolution.																																																														
Forum	ACT Uganda Forum																																																																
Requesting members	Lutheran World Federation (LWF), Uganda Joint Christian Council (UJCC)																																																																
Local partners	None																																																																
Impact (overall objective)	To ensure new refugee arrivals from DRC have access to protection and WASH services leading to improvement in their living standards and ensuring restoration of a dignified life and harmonious co-existence.																																																																
Target beneficiaries	<p>30,000 refugees from DRC</p> <table border="1"> <thead> <tr> <th colspan="11">Beneficiaries</th> </tr> <tr> <th></th> <th colspan="2"></th> <th colspan="2">6 - 18 yrs</th> <th colspan="2">19 - 65 yrs</th> <th colspan="2">above 65 yrs</th> <th colspan="2">Total</th> </tr> <tr> <th></th> <th>M</th> <th>F</th> <th>M</th> <th>F</th> <th>M</th> <th>F</th> <th>M</th> <th>F</th> <th>M</th> <th>F</th> </tr> </thead> <tbody> <tr> <td>LWF</td> <td>10000</td> <td>12000</td> <td>1500</td> <td>2500</td> <td>800</td> <td>900</td> <td>800</td> <td>500</td> <td>11500</td> <td>15900</td> </tr> <tr> <td>UJCC</td> <td>370</td> <td>500</td> <td>1200</td> <td>380</td> <td>310</td> <td>100</td> <td>90</td> <td>50</td> <td>1570</td> <td>1030</td> </tr> </tbody> </table>										Beneficiaries														6 - 18 yrs		19 - 65 yrs		above 65 yrs		Total			M	F	M	F	M	F	M	F	M	F	LWF	10000	12000	1500	2500	800	900	800	500	11500	15900	UJCC	370	500	1200	380	310	100	90	50	1570	1030
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Expected outcomes	<ol style="list-style-type: none"> 1. New arrivals of refugees have access to basic needs in shelter and Non-Food Items (NFI). 2. New arrivals of refugees have access to WASH 3. New arrivals of refugees are provided with protection services and psychosocial support 4. Community leaders are empowered to effectively participate in peace building and conflict management processes 																																																																
Expected outputs	<ol style="list-style-type: none"> 1.1 10,000 Refugees have modest shelter 1.2 10,000 refugees are provided with NFIs at settlements 2.1. 38,000 refugees have access to clean water, practicing improved hygiene and sanitation services while at collection points, reception centre and settlements. 3.1 Reduction in cases of Gender Based Violence and improved Child protection among refugees 4.1 Community safety nets and networks established to promote peace and reconciliation 																																																																

<p>Main activities</p>	<p><u>Output 1: Shelter and settlement/NFI</u></p> <ol style="list-style-type: none"> 1.1 Construct 15 temporary communal shelters 1.2 Distribute shelter construction kits to 2,000 households 1.3 Provide building material Support to Unaccompanied Minor/Separated Children (UAM/SC) 1.4 Provide Shelter material support to foster families 1.5 Material support to persons with special needs Households (PSN) <p><u>Output 2: Water Sanitation and Hygiene (WASH)</u></p> <ol style="list-style-type: none"> 2.1 Motorize two production wells 2.2 Extend water system to Maratatu settlement 2.3 Procure and distribute 1,000 hygiene kits 2.4 Conduct Hygiene and Sanitation sensitization. 2.5 Procure and distribute 2,000 water storage containers 2.6 Construct 150 gender inclusive communal latrines with bath shelters 2.7 Conduct training of Hygiene Promoters and staff on CLTS and other participatory sanitation approaches. 2.8 Procure and install hand washing facilities at collection points and reception centre 2.9 Procure and distribute garbage trash-bins at reception centre, border collection points and institutions 2.10 Engage 20 Hygiene Promoters 2.11 Production of IEC Materials for hygiene and sanitation. 2.12 Construct 4 blocks of Permanent latrines (5 stances) at the reception centre. 2.13 Facilitate water quality monitoring and surveillance <p><u>Output 3: Protection/Psychosocial Support</u></p> <ol style="list-style-type: none"> 3.1 Conduct mapping and capacity assessment for community support systems 3.2 Train incentive workers (block leaders, religious and opinion leaders) on psychosocial helping skills 3.3 Provision of psychosocial support to vulnerable people with signs of distress 3.4 Persons with disabilities provided with mobility appliances 3.5 Regular community-based campaigns to on child rights and how to address their concerns conducted 3.6 Provide unconditional cash grant to 200 PSNs 3.7 Procure solar street lights for communal places and dark spots 3.8 Conduct a conflict assessment 3.9 Hold a one-day feedback meeting with 50 selected community leaders 3.10 Conduct a two days training for 40 participants (district leadership and Humanitarian workers) on the Do No Harm Principles. 3.11 Conduct a four (4) days capacity building training for 40 community leaders. 3.12 Facilitate 40 community leaders to engage in conflict management processes within the settlement and neighbouring host community. 3.13 Facilitate Monthly community dialogue meetings for harmonious co-existence 3.14 Conduct quarterly community radio talk shows on awareness raising and sensitization on harmonious and peaceful co-existence
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	<p>3.15 Conduct intra and inter community games for youths (boys and girls) to promote unity and harmonious co-existence in 4 Settlements/ Zones.</p> <p>3.16 Support 4 communities(settlements/zones) to constructively utilize their leisure time by engaging in music, dance and drama activities</p> <p>3.17 Hold quarterly dialogue on feedback and review meetings with district leadership and humanitarian workers on the Do No Harm Principle (and present issues of advocacy at district level).</p> <p>3.18 Monitoring and evaluation</p>
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Section 2: Narrative Summary

Background

In the evening of Monday, the 18 December 2017, the district of Kanungu, Kisoro and Bundibugyo in Western Uganda, experienced a heightened influx of refugees from the Democratic Republic of Congo (DRC) fleeing violence in North Kivu and Ituri regions. That week was characterised by two major developments: the unexpected surge of arrivals to Kyangwali Settlement through the Lake Albert route and the arrival of Congolese refugees to Kyaka II settlement. Rwamwanja settlement which used to receive Congolese refugees entering through South-West Uganda has since reached full capacity, prompting the government to reactivate reception of new arrivals in Kyaka II and Kyangwali settlements. More than 40,000 Congolese new arrivals have been received in Uganda since 1st December 2017. The largest majority entered through Nteko and Bunagana border points in Kisoro with smaller numbers crossing through Ishasha, Kyeshero and Butogota border points in Kanungu, Mpondwe in Kasese and Lake Albert in Hoima district. In Hoima, recent daily refugee arrival averages at 2,000 persons per day through Sebagoro, Kaiso, Nsonga border landing sites. The new arrivals are received and relocated to Kyangwali settlement nearby.

The settlements face numerous challenges from poor access roads and lack of infrastructure, and inadequate access to clean and safe water. At Malemo C Zone of Kyangwali settlement, the new arrivals currently receive 13 litres of water/person/day which is below the recommended minimum SPHERE standards of 15 litres/person/day. Since children constitute the majority of new arrivals, temporary schools need to be urgently established for the provision of safe child spaces, early childhood development and primary education before school resumes in February. In Kyangwali's extension sites of Malembo and Maratatu, refugees lack adequate sanitation facilities such as communal latrines for new arrivals. Approximately, 1,232 persons with specific needs (PSNs) including about 800 unaccompanied minors and separated children (UASC) have been identified, and also people with disabilities (PWD), survivors of Sexual and Gender Based Violence as experienced in DRC and in transit to the country of refuge, and other vulnerabilities, need to be identified and addressed. The new entry points lack adequate shelter for all persons including PSNs, who have more vulnerabilities. Since the launch of LWF interventions at both Kagoma reception centre and Malatatu settlement, LWF has recorded 102 GBV cases who have so far been supported with psychosocial support. This indicates that women experience violence both in country of origin and during flight as refugees.

There are resentments within and among refugees arising from challenges posed by the situation as well as existing grievances from country of origin. Hence, there is a need to establish mechanisms for harmonious co-existence and resolution of community conflicts as they arise. Issues around resettlement, leadership, socio-economic differences, domestic and sexual abuse among others have caused ill feelings, hence the need to contribute to the mitigation of tensions.

Humanitarian Needs	Capacity to Respond
<p>Refugees into Uganda started coming on 18th December 2017 with daily arrivals averaging at 2000, into a reception area that had a holding capacity of 600. LWF conducted a Rapid Needs assessment in February 2018 in Kyangwali to identify urgent needs of new arrivals from DRC. The assessment highlighted humanitarian needs in WASH, protection, shelter at Malatatu settlement which has so far been expanded to accommodate more persons (11,000 to 40,000) resulting in the settlement sharing a perimeter border with a forest reserve. Currently, there is a need to integrate environment and energy into the short-term interventions.</p> <p>WASH. There is a need for more than 300 communal latrines in the short term as Malatatu settlement is being expanded to accommodate more new arrivals and refugees who are to be relocated from Malembo C Zone. LWF is operational in Malatatu which has a 1.7% household latrine coverage since 83% of the new arrivals use communal latrines. 97% of refugees visiting health posts report diarrhoea cases. Currently, new arrivals are receiving plots of less than 25 x 15 metres which is not sufficient for household farming.</p> <p>Other priority needs include support to persons with specific needs (PSN), environment, and livelihoods.</p>	<p>Lutheran World Federation (LWF) has been operational in Uganda since 1981 providing humanitarian support to South Sudan and Congolese refugees. LWF with request from Office of the Prime Minister (OPM) and UNHCR, responded to the influx of Congolese in Kyangwali settlement with lifesaving interventions in WASH, Protection and shelter support with funding support from Church of Sweden (CoS).</p> <p>Based of its experience in addressing the needs of Congolese refugees and expertise in short to longer multi-sectoral interventions, LWF has the capacity to scale up implementation in Kyangwali settlement. The Rapid Response funds by CoS has so far reached more than 25,000 refugees in need of support in WASH, People with Special Needs support, Non-food Item support and shelter support in Malatatu, Sebagoro and Nsonga border points and Kagoma reception centre. LWF therefore knows the current needs, context and community demographics which are vital for success of implementation.</p> <p>Uganda Joint Christian Council (UJCC), as a local national organisation established in 1963 with a fully-fledged department of Justice, Peace and Reconciliation has a vast experience of community peace building and conflict transformation within and among refugees, and also with host communities.</p>
<p>Proposed response</p> <p><i>Does the proposed response honour ACT's commitment to Child Safeguarding?</i> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>The overall objective is to ensure new arrivals have access to shelter, WASH, Protection services and are able to live in a peaceful environment. The proposed response seeks to improve the living standards of refugees by ensuring new arrivals' life is restored and they live a dignified life, while harmoniously co-existing.</p> <p>The Appeal targets 30,000 refugees both old and new caseloads in Kyangwali Settlement, Hoima district with 10,000 persons to be supported with shelter support, 11,000 beneficiaries for WASH support, 5,000 receiving protection and psychosocial support, and 4,000 refugees to benefit from interventions that bridge and strengthen peaceful co-existence and cohesion among the refugees and host community.</p>	

LWF is currently providing humanitarian assistance to newly arrived refugees and continues to support the already established ones to regain self-sufficiency through multi-sector interventions in Livelihood, Water, Sanitation and Hygiene, and Protection with support from Church of Sweden (CoS) through the Rapid Response Mechanism (RRM). However, due to recent trend coupled with a surge in arrivals, there are noted gaps in Shelter, NFI supports, WASH, protection and peace building. The Appeal will intervene through short-term/emergency to Mid-term intervention in shelter, WASH, Protection and peace building/ conflict resolutions mechanisms.

To build the capacity of the community to respond to challenges posed against harmonious co-existence during this intervention, community leaders will be empowered to effectively participate in peace building and conflict management community processes.

Uganda Joint Christian Council (UJCC) will conduct a conflict assessment with the aim of establishing dividers, connectors and develop strategies to better respond to conflicts. The assessment will be participatory, involving all stakeholders including religious leaders, humanitarian agencies and local leaders (80 respondents). The method to collect information to be used for peace building and conflict management will be done in 4 days, and the fifth day will be for joint community feedback meeting. For four days, interviews will be conducted as well as focus group discussions to develop an in-depth analysis of possible causes of conflict. Information generated at this stage will further be used in initiating activities aimed at fostering harmony. Two weeks upon completion of the assessment, fifty (50) selected community leaders cognizant of gender and age will share findings and map out a strategy on how best the program can contribute towards harmonious co-existence.

Participants of this initiative peace building component shall include the Uganda Joint Christian Council grassroots structure representatives from the Ecumenical Joint Action Committee (EJACs), Refugee Welfare committees, religious groups, community leaders, opinion leaders, local council, women and youth representatives as well as district authorities. This will be followed by a 4 day-training for 40 community leaders, women and youth groups in community peace building and conflict resolution to enable them to participate in conflict management processes. The training will involve presentations, conflict games and practical use of conflict analysis tools. The teams shall also work to develop skits and drama presentations. The teams will then engage within their respective zones/blocks and host community in mediation and dialogue processes. Intra and inter community games as well as music, dance and drama shall be conducted with an aim of strengthening interaction for harmonious co-existence. In addition, these activities will build the young people's confidence and abilities to find and create opportunities to advance their life circumstances. They shall also help the youth move away from gangs and focus on engaging in more productive hobbies and talents. A training for humanitarian workers and district leadership on the Do No Harm principles will be conducted, with an aim of promoting inclusiveness and non-discrimination, and hold a quarterly dialogue meeting of the same group with community leaders (host and refugees) to present issues of advocacy/concern.

Shelter/Settlement and Non-Food Items: 40,192 new arrival refugees have been received in Kyangwali. There are currently 2,963 persons with special needs and 1,576 unaccompanied minors who have multiple vulnerabilities. LWF will prioritise the provision of clothes, shelter support, beddings that help ensure they live dignified lives.

Water, hygiene and Sanitation: To Increase access to reliable, clean and safe water, LWF will motorise one production well in Maratatu settlement which currently has more than 35,000 refugees. The current communal latrine gaps are 300 and household latrine coverage is 1.7%. Households will be supported with latrine digging and hygiene materials to construct decent latrines as per Sphere

standards. There are currently 21 hygiene promoters reaching only 10,500 refugees. The current gap is 49 hygiene promoters.

Coordination

LWF coordinated with Office of the Prime Minister (OPM), UNHCR and other implementing partners to ensure uniformity of approaches that will minimise in duplication. The implementing partner will work under the common ACT Alliance identity. Mutual agreements will be made with the Refugee Welfare committees to ensure that the project activities are done in a free and fair way and without any form of discrimination by age, sex, gender or any other diversity. LWF Geneva office in liaison with the LWF Emergency Hub in Nairobi shall continue to provide technical support and guidance to ensure humanitarian principles are adhered to and incorporated into policy documents, project proposals and planning.

The peace building intervention will be implemented by UJCC with support from LWF. Through its grassroots structures (EJACs), the Council will be able to conduct implementation of the activities in collaboration with the local council administration. The local and district collaboration will be helpful in case of actions and advocacies arising from monthly and quarterly dialogues.

Basic implementation plan

		2018								2019			
Months		April	May	June	July	August	September	October	November	December	January	February	March
Outcome 2.1: Shelter and settlement/NFI													
2.1.1.	Construct 15 temporary shelters at the reception centre, border collection points and within Malatatu settlement												
2.1.2.	Procure and distribute shelter construction kits to 2000 households												
2.1.3	Material Support to UAMSC												
2.1.4	Material Support to foster Families												
2.1.5	Inclusive PSN household support (inclusive of energy saving stove)												
Outcome 2.3: Water, Hygiene and Sanitation													
2.3.1.	Motorize two productions well												
2.3.2	Extend water system to Malatatu settlement (Tanks with Accessories)												
2.3.3.	Procure and distribute 1000 hygiene kits												
2.3.4	Conduct Hygiene and Sanitation sensitizations												
2.3.5.	Procure and distribute 2000 water storage containers												

SECRETARIAT: 150, route de Ferney, P.O. Box 2100, 1211 Geneva 2, Switz. TEL.: +4122 791 6434 – FAX: +4122 791 6506 – www.actalliance.org

Core Humanitarian STANDARD The ACT Alliance Secretariat's continuous improvement in the application of the Core Humanitarian Standard is independently verified by HQAI

2.5.7	Support peace building initiatives by UJCC										
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Monitoring and evaluation

The Planning, Monitoring, Evaluation and reporting (PMER) Manager will support the Project officer in charge of overall implementation under the guidance of project Team Leader. There will be regular monitoring, including field visits, stakeholder engagement, beneficiary consultations and government coordination. There will also be regular monthly/quarterly meetings and reporting within ACT Uganda Forum. Challenges, achievements and any other emerging issues will be discussed within ACT Uganda Forum. LWF will also analyse objectives vs achievements, key achievements, key learning and areas to for improvement. Finance Managers will monitor and control budget implementation and make periodic visits to project locations. On-the-spot internal audits will be carried out within the year and an external audit will be effected at project end. Monitoring and evaluation of peace building component will be an integral part of the entire implementation for greater impact realization and tracking progress. Communication from ACT alliance Secretariat will be through the Office of the Executive Secretary, who will oversee project implementation.

Annex 1 Budget Summary

% of total budget for activities (USD)		
Activities	LWF	UJCC
Shelter and settlement / Non-food items	100%	0%
Water, sanitation & hygiene (WASH)	100%	0%
Protection / Psychosocial support	46%	54%
Camp Management	0%	100%
	% of members' individual expenditures vis-à-vis total expenditures (USD)	
	LWF	UJCC
	88%	12%

Annex 2 Budget:

EXPENDITURE		Appeal Budget UGX	Appeal Budget USD
DIRECT COSTS			
1 PROGRAM STAFF			
	Appeal Lead	25,200,000	6,804.00
	Total international program staff	46,200,000	12,474.00
	Total national program staff	415,476,246	112,178.59
	TOTAL PROGRAM STAFF	486,876,246	131,457
2 PROGRAM ACTIVITIES			
2.1.	Shelter and settlement / Non-food items	745,000,000	201,150.00
2.3.	Water, sanitation & hygiene (WASH)	1,521,000,000	410,670.00
2.5.	Protection / Psychosocial support	441,440,000	119,188.80
2.10.	Camp Management	10,000,000	2,700.00
	TOTAL PROGRAM ACTIVITIES	2,717,440,000	733,709
3 PROGRAM IMPLEMENTATION			
	TOTAL PROGRAM IMPLEMENTATION	102,500,000	27,675
4 PROGRAM LOGISTICS			
	Transport (of relief materials)	160,000,000	43,200.00
	Warehousing	32,400,000	8,748.00
	Handling	78,400,000	21,168.00
	TOTAL PROGRAM LOGISTICS	270,800,000	73,116
5 PROGRAM ASSETS & EQUIPMENT			
	TOTAL PROGRAM ASSETS & EQUIPMENT	108,000,000	29,160
6 OTHER PROGRAM COSTS			
6.1.	SECURITY		
	TOTAL SECURITY	17,000,000	4,590
6.2.	FORUM COORDINATION		
	TOTAL FORUM COORDINATION	35,000,000	9,450
6.3.	STRENGTHENING CAPACITIES		
	TOTAL STRENGTHENING CAPACITIES	7,500,000	2,025
TOTAL DIRECT COST		3,745,116,246	1,011,181
INDIRECT COSTS: PERSONNEL, ADMINISTRATION & SUPPORT			
TOTAL INDIRECT COST: PERSONNEL, ADMIN. & SUPPORT		155,449,132	41,971
		4%	4%
TOTAL EXPENDITURE exclusive International Coordination Fee		3,900,565,378	1,053,153
INTERNATIONAL COORDINATION FEE (ICF) - 3%		117,016,961.34	31,594.58
TOTAL EXPENDITURE inclusive International Coordination Fee		4,017,582,339.34	1,084,747.23
BALANCE REQUESTED (minus available income)		4,017,582,339.34	1,084,747.23
PROPOSED DISPOSITION OF CAPITAL ASSETS at Completion date			
	<u>ITEM - (List each over US\$500)</u>	<u>Actual cost</u>	<u>Disposition</u>

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Please kindly send your contributions to either of the following ACT bank accounts:

US dollar

Account Number - 240-432629.60A
IBAN No: CH46 0024 0240 4326 2960A

Euro

Euro Bank Account Number - 240-432629.50Z
IBAN No: CH84 0024 0240 4326 2950Z

Account Name: ACT Alliance

UBS AG
8, rue du Rhône
P.O. Box 2600
1211 Geneva 4, SWITZERLAND
Swift address: UBSWCHZH80A

Please note that as part of the revised ACT Humanitarian Mechanism, pledges/contributions are **encouraged** to be made through the consolidated budget of the country forum, and allocations will be made based on agreed criteria of the forum. For any possible earmarking, budget targets per member can be found in the “Summary Table” Annex, and detailed budgets per member are available upon request from the ACT Secretariat. For pledges/contributions, please refer to the spreadsheet accessible through this link <http://reports.actalliance.org/>. The ACT spreadsheet provides an overview of existing pledges/contributions and associated earmarking for the appeal.

Please inform the Head of Finance and Administration, Line Hempel (Line.Hempel@actalliance.org) and Senior Finance Officer, Lorenzo Correa (Lorenzo.Correa@actalliance.org) with a copy to the Humanitarian Programme Officer Caroline Njogu (Caroline.Njogu@actalliance.org) of all pledges/contributions and transfers, including funds sent direct to the requesting members.

We would appreciate being informed of any intent to submit applications for EU, USAID and/or other back donor funding and the subsequent results. We thank you in advance for your kind cooperation.

For further information please contact:

ACT Regional Representative, Gezahegn K. Gebrehana (gezahegn.gebrehana@actalliance.org)

ACT Web Site address: <http://www.actalliance.org>

Alwynn Javier
Global Humanitarian Coordinator
ACT Alliance Secretariat