



CERF Underfunded Window in 2009

In accordance with the Secretary-General's Bulletin (ST/SGB/2006/10), the Emergency Relief Coordinator (ERC) is responsible for setting priorities for the use of the CERF Underfunded window and for selecting countries to receive allocations from that window, in close consultation with relevant humanitarian agencies. In 2009, the Central Emergency Response Fund (CERF) allocated a total of US\$130 million¹ to twenty underfunded emergencies².

Box 1: Recipient Countries

Country selection and country envelopes (phase I): Taking into consideration the level of funding for life-saving operations by humanitarian agencies³, severity of the crisis and agencies' recommendations of non-CAP countries, the ERC selected 10 CAP countries and 10 non-CAP countries. The countries receiving the largest allocations were DPRK and Zimbabwe (15 percent each of the total amount for round I and II) followed by Ethiopia (12 percent), Somalia (8 percent).

Submission of grant requests (phase II): Following in-country prioritization of sectors and projects, RC/HCs submitted grant request packages within the scope of each allocated Underfunded envelope.

Surge: Surge support from OCHA Regional Offices, agencies and/or the CERF Secretariat was deployed to six countries⁴. Surge capacity was effective in raising awareness on the CERF application process and in improving the quality of proposals submitted.

Sectors: The sectors receiving the largest share of grants were food (24 percent); health-nutrition (16 percent); health (14 percent);⁵ and agriculture and water and sanitation (both 12 percent).

Recipient agencies: The largest recipient agencies of grants were WFP, UNICEF, FAO and UNHCR.

Project size: The average approved project amount was \$694,122⁶.

	Country	Round I	Round II	Totals
		Approved in USD		
CAP countries (10)	Somalia	9,999,999	-	9,999,999
	Niger	3,976,342	-	3,976,342
	Burkina Faso	1,997,535	-	1,997,535
	Cote d'Ivoire	2,000,003	-	2,000,003
	Zimbabwe	9,982,000	8,926,729	18,908,729
	CAR	-	2,787,790	2,787,790
	Guinea	-	1,171,585	1,171,585
	Kenya	-	8,038,330	8,038,330
	Chad	-	5,464,388	5,464,388
	DR Congo	-	10,461,001	10,461,001
	<i>Total</i>	<i>27,955,879</i>	<i>36,849,823</i>	<i>64,805,702</i>
non-CAP countries (10)	Burundi	3,956,773	-	3,956,773
	Colombia	4,999,979	-	4,999,979
	Haiti	4,995,766	-	4,995,766
	Eritrea	1,999,999	1,521,259	3,521,258
	Yemen	4,705,281	-	4,705,281
	Djibouti	1,996,905	1,000,015	2,996,920
	Ethiopia	9,666,134	5,979,264	15,645,398
	DPRK	9,999,909	8,996,794	18,996,703
	Myanmar	2,998,439	-	2,998,439
	Algeria	-	1,536,000	1,536,000
	<i>Total</i>	<i>45,319,185</i>	<i>19,033,332</i>	<i>64,352,517</i>
	TOTAL	73,275,064	55,883,155	129,158,219

¹ As from 13 October 2009

² Round I (January to May) covered 14 countries (\$75 million) and round II (July to October) covered 11 countries (\$55 million)

³ For round I : 2008 level of funding is examined and for round II the CAP mid-year review is examined

⁴ Round I: Zimbabwe (OCHA RO); Djibouti (OCHA RO and FAO); Yemen (UNHCR); Myanmar (OCHA RO); and Haiti (UNICEF and CERF Secretariat) and round II: Chad (OCHA RO)

⁵ Projects approved are classified as falling under health-nutrition when health activities are directly geared towards and complement the treatment of malnutrition and other health complications caused by nutrition deficiency.

⁶ Round I: \$800,000, round II: \$588,244

Approval (phase III):

Rejection rate: A total of 187 projects were received⁷, most of which underwent revision by the field following review by the CERF Secretariat; five projects were rejected due to incompatibility with the CERF Life-Saving Criteria⁸.

Overall approved amount: Following adjustments of project amounts in the review process and rejections an overall amount of \$129 million was approved, against a total \$130 million set aside for the Underfunded rounds in 2009.

Rates of revision: The rate was particularly high for some countries during round II where surge could have been considered.

Timeliness of approval: The average number of days that passed between the final submission of a (revised) project to the CERF Secretariat, its internal processing, and final approval by the ERC, improved by two days between round I and II to reach five days for round II.

Box 2: Recipient Agencies

Agency	Round I	Round II	Total
	Approved in USD		
WFP	25,017,545	15,494,046	40,511,591
UNICEF	20,136,530	19,023,518	39,160,048
FAO	9,026,288	5,616,538	14,642,826
UNHCR	7,102,156	5,566,481	12,668,637
WHO	5,509,194	5,105,058	10,614,252
UNFPA	2,170,931	2,180,459	4,351,390
IOM	1,980,495	2,497,055	4,477,550
UNDP	1,265,585	400,000	1,665,585
UNOPS	1,000,000	-	1,000,000
UNIFEM	66,340	-	66,340
Total	73,275,064	55,883,155	129,158,219

Box 3: Recipient Sectors

Sector	Round I	Round II	Total
	Approved in USD		
Food	17,867,779	12,624,859	30,492,638
Health-Nutrition	13,487,676	7,217,369	20,705,045
Health	10,748,482	7,191,526	17,940,008
Agriculture	9,485,307	6,016,538	15,501,845
Water and Sanitation	8,647,647	7,196,341	15,843,988
Coordination and Support Service	4,800,029	3,877,794	8,677,823
Protection/Human Rights/Rule of Law	4,306,653	4,657,682	8,964,335
Shelter/non-food items	2,020,911	-	2,020,911
Multi-Sector	1,735,100	5,964,761	7,699,861
Education	175,480	1,136,285	1,311,765
Total	73,275,064	55,883,155	129,158,219

⁷ Round I: 92 projects and round II: 95 projects

⁸ Round I: four and round II: one